

BUCKLEY, MASCHOFF & TALWALKAR LLC

Five Elm Street
New Canaan, CT 06840
tel (203) 972-0006
fax (203) 972-7627

RECEIVED
GENERAL COUNSEL
JAN 21 2005

FACSIMILE TRANSMITTAL SHEET

TO: Examiner Ankeeta Shah	FROM: Patrick Buckley
COMPANY: US PTO (Group Art Unit 3628)	DATE: January 21, 2005
FACSIMILE NO: (703) 872-9306	TOTAL NO. OF PAGES INCLUDING COVER: 46
RE: 5th Supplemental Information Disclosure Statement US Patent Application No. 09/939,911	SENDER'S TELEPHONE NO: (203) 972-0191

This transmission may contain information from Buckley, Maschoff & Talwalkar LLC that is confidential and/or legally privileged. Such information is intended only for the use of the individual or entity to whom this transmission is addressed. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this transmitted information is strictly prohibited, that copies of this transmission should be returned to this firm. If you have received this transmission in error, please notify us by telephone or e-mail immediately, and we will arrange for the return to Buckley, Maschoff & Talwalkar LLC of any printed copies at no cost to you.

Please type a plus sign (+) inside this box



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/939,911	
	Filing Date	August 27, 2001	
	First Named Inventor	WILCE	
	Group Art Unit	3628	
	Examiner Name	Shah, Ankeeta	
Total Number of Pages in This Submission	45	Attorney Docket Number	G08.003

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> 5 th Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449 with 2 cited non-patent literature arts.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Patrick J. Buckley
Signature	<i>Patrick Buckley</i>
Date	January 12, 2005

CERTIFICATE OF MAILING/FACSIMILE

I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313			
Facsimile number 703-872-9306 on this date: January 12, 2005			
Type or printed name	Edith Martin	Date	January 12, 2005
Signature	<i>Edith Martin</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CONFIDENTIAL

US Application No. 09/939,911
Docket No. G08.003

JAN 21 2035

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WILCE et al.

Serial No.: 09/939,911

Filing Date: August 27, 2001

For: **SYSTEMS AND METHODS FOR
FACILITATING USE OF AGREEMENT
INFORMATION VIA AN AGREEMENT
MODELING SYSTEM**

Examiner: SHAH, ANKEETA

Group Art Unit: 3628

Attorney Docket No.: G08.003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

5th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

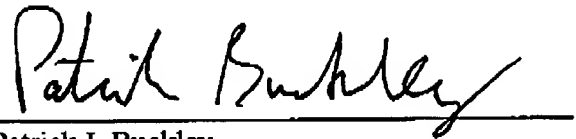
The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its

examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed prior to the mailing of a first office action on the merits.

Respectfully submitted,



January 12, 2005
Date

Patrick J. Buckley
Registration No. 40,928
Buckley, Maschoff & Talwalkar LLC
Five Elm Street
New Canaan, CT 06840
(203) 972-0191

US Application No. 09/939,911
Docket No. G08.003

Substitute for form 1449A/PTO 5th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/939,911
		Filing Date	August 27, 2001
		First Named Inventor	WILCE, Scot
		Group Art Unit	2164
		Examiner Name	Not yet assigned
Attorney Docket Number	G08.003		
Sheet	1	of	1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	"Cross-Product Master Agreement," February 2000, The Bond Market Association (February 2000)	
	2	"Cross-Product Master Agreement Guidance Notes," February 2000, The Bond Market Association (February 2000)	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.